

# Contractor Management Plan

## 'Modes of Engagement'

*This is an excerpt of TRL-WHS-MP-001 Contractor Management Plan, required to be reviewed by prospective Contractors during the company pre-qualification process.*

### 1. OBJECTIVE

*Modes of Engagement* outlines the Safety Management System ('SMS') adoption method and associated requirements when being engaged as a contractor by Tritton Resources Pty Ltd ('Tritton Operations', the 'Operator').

If a mode of engagement has not been identified and communicated by a Tritton Operations contract owner or representative, it is the responsibility of the Contractor to interpret this document and select the correct mode of engagement while completing the Pegasus contractor pre-qualification process ('pre-qualification').

### 2. DUTIES TO PROVIDE INFORMATION

#### 2.1. Duty on operator to provide information to contractor

In accordance with *Work Health and Safety (Mines and Petroleum Sites) Regulation 2014*, [section 20](#):

*"The operator of a mine or petroleum site must ensure that a contractor who is to carry out mining operations or petroleum operations at the mine or petroleum site is given, so far as is reasonably practicable, all relevant information and access to the mine or petroleum site to enable the contractor to identify any risks associated with the proposed operations."*

Contractors can download a copy of key documents in Tritton Operations SMS by [clicking here](#). If any other information is required, please contact your Tritton Operations contract owner or representative.

#### 2.2. Duty on contractor to provide information to operator

In accordance with *Work Health and Safety (Mines and Petroleum Sites) Regulation 2014*, [section 21](#):

*"A contractor who is to carry out mining operations or petroleum operations at a mine or petroleum site must ensure that the operator of the mine or petroleum site is given, so far as is reasonably practicable, all relevant information to enable the operator to identify any risks associated with the proposed operations."*

Tritton Operations reserves the right to request additional documentation for review at any time, outside the pre-qualification process.

### 3. HEALTH AND SAFETY REPORTING REQUIREMENTS

In order to satisfy regulatory reporting, internal and external auditing requirements, maintain contractor management performance and improve overall health and safety performance, Tritton Operations requires health and safety performance data to be reported at defined intervals and be made available on an 'as needs' basis with reasonable notice.

### 4. MODE OF ENGAGEMENT

The selected mode of engagement is only agreed upon by Tritton Operations when the pre-qualification process is complete, thus the Contractor is deemed compliant in Pegasus. Work can then commence once authorised by the Tritton Operations contractor owner or representative.

Tritton Operations reserves the right to amend the mode of engagement at any time including during approval and audit processes.

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### 4.1. Principal Contractor

A principal contractor is engaged under *Work Health and Safety (Mines and Petroleum Sites) Regulation 2014*, [section 22\(1\)\(a\)](#) and will be responsible for the control of [mining operations](#) in a given area under their own SMS, unless an appropriate contract deviation is in place.

The Contractor will provide a copy of their health and safety management plan during the pre-qualification process which will be audited for compliance against Tritton Operations' SMS using Pegasus' SMS audit tool.

By receiving an SMS Audit Certificate indicating a 'pass', thus triggering approved status, it is deemed that the Contractor has received written notice that the Contractor safety management plan is consistent with Tritton Operations' safety management plan.

#### 4.1.1. Health and safety performance data

Principal contractors are obligated to report all health and safety related performance data to Tritton Operations on a monthly and yearly basis, and as requested.

### 4.2. Non-Principal Contractor

A non-principal contractor is engaged under *Work Health and Safety (Mines and Petroleum Sites) Regulation 2014*, [section 22\(1\)\(b\)](#) and will conduct [mining operations](#) under the management of Tritton Operations' SMS. A routine deviation may be in place where, for example, a specialist contractor performs a task according to their own safe work instruction, procedure, safe work method statements or similar safe system of work – if this occurs, the Tritton Operations contract owner or representative must review the documentation, determine suitability and if appropriate, provide approval. Tritton Operations risk management requirements still apply.

Tritton Operations will make available relevant parts of the SMS for review by the Contractor.

By continuing the Pegasus pre-qualification process and receiving an SMS Audit Certificate indicating a 'pass', thus triggering approved status, it is deemed that Tritton Operations has received written notice that the SMS is consistent with the Contractor's arrangements to manage the risks to health and safety from mining operations.

#### 4.2.1. Health and safety performance data

Non-principal contractors are obligated to report all health and safety related performance data to Tritton Operations on yearly basis, or as requested.

### 4.3. Off-site Contractor (mining activities)

An Off-site Contractor (mining activities) conducts mining activities work on behalf of, or provides a mining activities service to, Tritton Operations at an off-site location. Mining activities are those described in *Work Health and Safety (Mines and Petroleum Sites) Act 2013*, section 7 e.g. off-site handling of copper concentrate on behalf of Tritton Operations. Health and safety risks are managed under the contractor's SMS and has no interference with Tritton Operations' SMS.

#### 4.3.1. Health and safety performance data

Off-site contractors are obligated to report health and safety performance data deemed to be related to mining activities on behalf of to Tritton Operations on a yearly basis, or as requested

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### 4.4. Off-site Contractor (non-mining activities)

An Off-site Contractor (non-mining activities) conducts work on behalf of, or provides a service to, Tritton Operations at an off-site location. The activities are not deemed a mining activity.

Health and safety risks are managed under the contractor's SMS and has no interference with Tritton Operations' SMS.

#### 4.4.1. Health and safety performance data

Off-site contractors are obligated to report health and safety performance data deemed to be related to mining activities on behalf of Tritton Operations on a yearly basis, or as requested.

## 5. SUBCONTRACTORS

Subcontractors to Tritton Operations are not required to register in Pegasus unless the Subcontractor also has a direct working relationship with Tritton Operations i.e. is also a Contractor as defined in 4 *Mode of Engagement* for a different scope of work.

By exception, Tritton Operations may also request a subcontractor to undertake the pre-qualification process.

## 6. RELATED DOCUMENTS

Document Name	Author
Parent Document: <a href="#">TRL-HSET-MP-001 Contractor Management Plan</a>	Tritton Copper Operations
<a href="#">Work Health and Safety (Mines and Petroleum Sites) Regulation 2014</a>	NSW Government
<a href="#">Work Health and Safety (Mines and Petroleum Sites) Act 2013 No 54</a>	NSW Government
<a href="#">Aeris Resources Contractor Management System – Pegasus</a>	Pegasus

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